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U.S. PTO

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PTO/SB/05 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

UCSF-085CON5

First Inventor

NADEL, JAY A.

Title

PREVENTING AIRWAY MUCUS PRODUCTION BY
ADMINISTRATION OF EGF-R ANTAGONISTS

Express Mail Label No.

EV405281255US

U.S. PTO
10/816159

033104

APPLICATION ELEMENTS

SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages: 79]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Pages: 11]
16
- Oath or Declaration [Total Pages: 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & documents(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

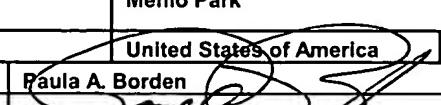
 Continuation Divisional Continuation-in-part (CIP) of prior application No.: 09/616,223 filed 7/14/2000

Prior application information: Examiner: J. Zara Group Art Unit: 1635

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	24353	or <input type="checkbox"/> Correspondence address below (Insert Customer No. or Attach bar code label here)
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Name	BOZICEVIC, FIELD & FRANCIS LLP		
Address	200 Middlefield Road, Suite 200		
City	Menlo Park	State	California
Country	United States of America	Telephone	(650) 327-3400
Name	Paula A. Borden		Registration No. (Attorney/Agent)
Signature			Date
			March 31, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 878.00)

Complete if Known

Application Number	To Be Assigned
Filing Date	March 31, 2004
First Named Inventor	NADEL, JAY A.
Examiner Name	To Be Assigned
Art Unit	1635
Attorney Docket No.	UCSF-085CON5

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Other None
Order
 Deposit Account:

Deposit Account Number	50-0815
Deposit Account Name	Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17. Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

		Large Entity	Small Entity		Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	
1051 770	2001 385	1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	1804 920*	1804 920*	Requesting publication of SIR prior to Examination action	
1805 1,840	1805 1,840	1805 1,840	1805 1,840	Requesting publication of SIR after Examiner action	
1251 110	1251 110	1251 110	1251 110	Extension for reply within first month	
1252 420	1252 420	1252 420	1252 420	Extension for reply within second month	
1253 950	1253 950	1253 950	1253 950	Extension for reply within third month	
1254 1,480	1254 1,480	1254 1,480	1254 1,480	Extension for reply within fourth month	
1255 2,010	1255 2,010	1255 2,010	1255 2,010	1,005 Extension for reply within fifth month	
1401 330	1401 330	1401 330	1401 330	Notice of Appeal	
1402 330	1402 330	1402 330	1402 330	Filing a brief in support of an appeal	
1403 290	1403 290	1403 290	1403 290	145 Request for oral hearing	
1451 1,510	1451 1,510	1451 1,510	1451 1,510	1,510 Petition to institute a public use proceeding	
1452 110	1452 110	1452 110	1452 110	55 Petition to revive - unavoidable	
1453 1,330	1453 1,330	1453 1,330	1453 1,330	665 Petition to revive - unintentional	
1501 1,330	1501 1,330	1501 1,330	1501 1,330	665 Utility issue fee (or reissue)	
1502 480	1502 480	1502 480	1502 480	240 Design issue fee	
1503 640	1503 640	1503 640	1503 640	320 Plant issue fee	
1406 130	1406 130	1406 130	1406 130	130 Petitions to the Commissioner	
1807 50	1807 50	1807 50	1807 50	1807 50 Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	1806 180	1806 180	1806 180 Submission of Information Disclosure Stmt	
8021 40	8021 40	8021 40	8021 40	8021 40 Recording each patent assignment per property (times number of properties)	
1809 770	1809 770	1809 770	1809 770	385 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	1810 770	1810 770	1810 770	385 For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	1801 770	1801 770	1801 770	385 Request for Continued Examination (RCE)	
1802 900	1802 900	1802 900	1802 900	1802 900 Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0.00)	

SUBMITTED BY

Complete (If applicable)

Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344	Telephone	(650) 833-7710
Signature				Date	03/31/2004

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